



Attorney Docket No. 13839-000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: McCafferty *et al.*
Appln. No.: 09/706,507
Filed: November 3, 2000
For: Methods of Producing Members
of Specific Binding Pairs
Group Art Unit: 1627
Examiner: P. Ponnaluri

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

2/5/02
Date

David W. Clough, Ph.D.
Registration No. 36,107
Attorney for Applicant

TRANSMITTAL OF SEQUENCE LISTING
PURSUANT TO 37 C.F.R. § 1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the Examiner's Notice to Comply with the Requirements for Patent Applications Including Nucleotide Sequences and/or Amino Acid Sequence Disclosures, mailed September 10, 2001, Applicants submit herewith the following:

1. A paper copy of the Sequence Listing in computer readable format;
2. Copy of Notice to Comply;
2. A diskette containing the Sequence Listing in ASCII format; and
3. A Statement Verifying that the paper copy of the Sequence Listing and the computer readable copy are the same in accordance with 37 C.F.R. §§1.821-1.825.
4. Extension of time within the fourth month with fee of \$1440.

Respectfully submitted,

By:

David W. Clough, Ph.D.
Registration No. 36,107
Attorney for Applicants

February 5, 2002
KATTEN MUCHIN ZAVIS
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
Telephone: 312/902-5464
Fax: 312/577-8736

PATENT

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Application No.: **09/706,507**

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

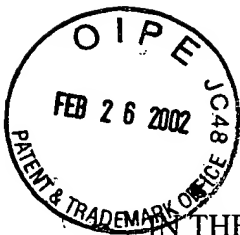
For CRF Submission Help, call (703) 308-4212

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Attorney Docket No. 13839-00012

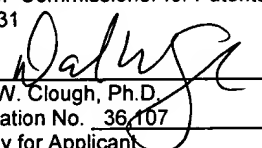
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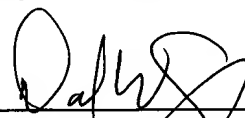
STATEMENT PURSUANT TO 37 C.F.R. § 1.821(g)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby state that the content of the paper copy of the Sequence Listing, and the computer readable copy of the Sequence Listing, submitted herewith in accordance with 37 C.F.R. §§1.821-1.825, are the same and include no new matter.

Respectfully submitted,

By: 
David W. Clough, Ph.D.
Registration No. 36,107
Attorney for Applicants

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